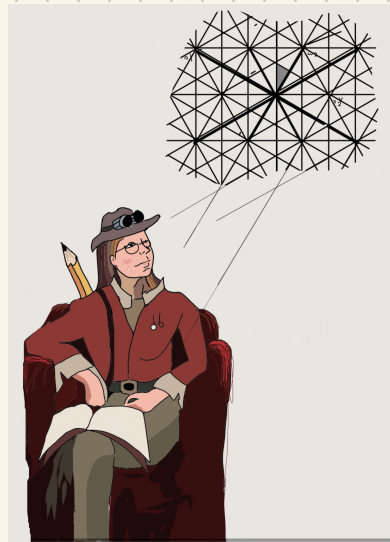


Affine Gordon-Bender-Knuth identities  
and cylindric Young tableaux

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# Outline

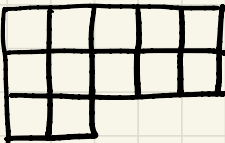
- ① Basic definitions & Robinson-Schensted algorithm
- ② Standard Young tableaux of bounded height
- ③ Noncrossing and nonnesting involutions
- ④ Cylindric SYT
- ⑤ Original Motivation
- ⑥ Affine Gordon-Bender-Knuth identities

# Basic definitions

Def)  $\lambda = (\lambda_1, \dots, \lambda_k)$  is a **partition** of  $n$  if

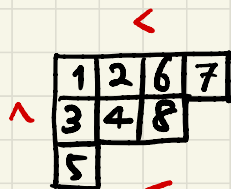
$$\lambda_1 \geq \dots \geq \lambda_k > 0, \quad \lambda_1 + \dots + \lambda_k = n.$$

**length** of  $\lambda = \ell(\lambda) = k = \#$  **parts**

The **Young diagram** of  $\lambda$  is 

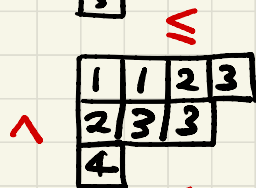
$$\lambda = (5, 5, 2).$$

A **standard Young tableau** of shape  $\lambda$  is



1	2	6	7
3	4	8	
5			

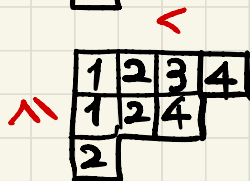
A **semistandard Young tableau** "



1	1	2	3
2	3	3	
4			

(**column-strict tableau**)

A **row-strict tableau** "



1	2	3	4
1	2	4	
2			

# Robinson-Schensted algorithm

$\pi$  : permutation of  $[n] = \{1, 2, \dots, n\}$ .

$\updownarrow$  1-1

$(P, Q)$  : pair of SYTs of size  $n$  and of same shape

ex)  $\pi = 415632 \xleftrightarrow{RS} \begin{matrix} P \\ 126 \\ 35 \\ 4 \end{matrix} \quad \begin{matrix} Q \\ 134 \\ 25 \\ 6 \end{matrix}$

\* insertion algorithm

$P$ :  $\emptyset \leftarrow 4 \quad 4 \leftarrow 1 \quad 1 \leftarrow 5 \quad 15 \leftarrow 6 \quad 156 \leftarrow 3 \quad 136 \leftarrow 2$

$\begin{matrix} 4 \\ 4 \\ 4 \\ 45 \end{matrix}$

$Q$ :  $\emptyset \quad 1 \quad 1 \quad 13 \quad 134 \quad 134$

$\begin{matrix} 2 \\ 2 \\ 2 \\ 25 \end{matrix}$

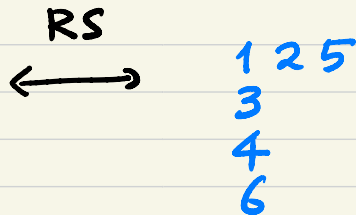
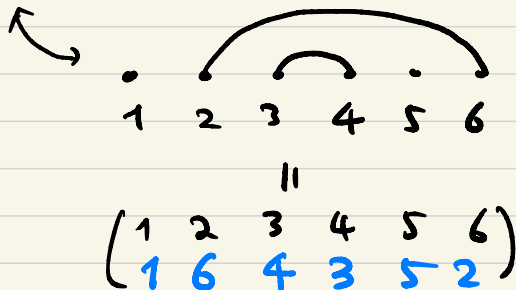
$\begin{matrix} 126 \\ 35 \\ 4 \\ 134 \\ 25 \\ 6 \end{matrix}$

## Consequences of RS algorithm

• If  $\pi \xleftrightarrow{RS} (P, Q)$ , then  $\pi^{-1} \xleftrightarrow{RS} (Q, P)$ .

•  $\pi$ : involutions of  $[n]$   $\xleftrightarrow{RS}$  SYTs of size  $n$ .  $(P, P)$ .  
( $\pi^2 = \text{id}$ )

•  $\pi = (26)(34)$



involution  $\leftrightarrow$  matching

## SYTs with bounded height.

Def)  $\text{SYT}_n = \text{set of SYTs of size } n.$

ht = 3

1	2	6	7
3	4	8	
5			

$$\text{SYT}_n(h) = \{ T \in \text{SYT}_n : \underbrace{\text{ht}(T)}_{= \# \text{ rows}} \leq h \}$$

ex).  $|\text{SYT}_n(2)| = \binom{n}{\lfloor n/2 \rfloor}$

$|\text{SYT}_n(3)| = \# \text{ Motzkin paths of length } n.$

$|\text{SYT}_n(4)| = C_{\lfloor \frac{n+1}{2} \rfloor} C_{\lceil \frac{n+1}{2} \rceil}, \quad C_n = \frac{1}{n+1} \binom{2n}{n} = n\text{th Catalan number.}$

Thm (Gessel, 1990)

$$\sum_{n \geq 0} |\text{SYT}_n(2h+1)| \frac{x^n}{n!} = \exp(x) \det \left( I_{-i+j}(2x) - I_{i+j}(2x) \right)_{i,j=1}^h$$

$$I_\alpha(2x) = \sum_{l \geq 0} \frac{x^{2l+|\alpha|}}{l! (l+|\alpha|)!} \quad (\text{Modified Bessel function})$$

## Properties of RS-algorithm

① If  $\pi \xleftrightarrow{RS} (P, Q)$ , then  $\pi^{-1} \xleftrightarrow{RS} (Q, P)$ .

② max length of decreasing subsequence of  $\pi = ht(P)$ .

③ " " Increasing " " = width(P)

ex)  $\pi = 4156327 \xleftrightarrow{RS} \begin{array}{c} P \\ 1267 \\ 35 \\ 4 \end{array} \quad \begin{array}{c} Q \\ 1347 \\ 25 \\ 6 \end{array}$

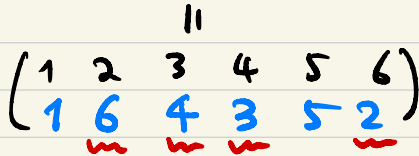
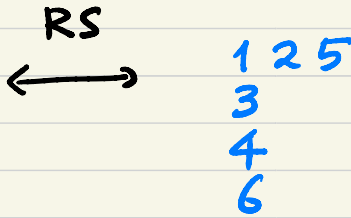
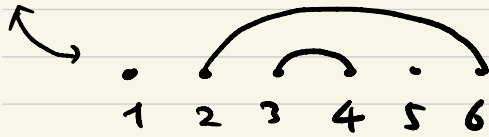
max dec **432**

max inc **4567**

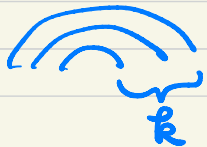
# Consequences of RS algorithm

$\pi$ : involutions of  $[n]$   $\xleftrightarrow{RS}$  SYTs of size  $n$ .  $(P, P)$ .  
 $(\pi^2 = \text{id})$

$\pi = (26)(34)$



involutions of  $[n]$   $\xleftrightarrow{RS}$  SYTs of size  $n$   
 with no  $k$ -nesting with height  $< 2k$

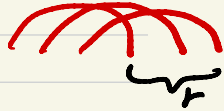


$\leftrightarrow$  dec seq of length  $2k$



## $r$ -noncrossing and $s$ -nonnesting involutions

Def) An involution  $\pi$  is  $r$ -noncrossing if  $\pi$  has no



"  $s$ -nonnesting "



$NCNN_n(r, s)$  = set of  $r$ -noncrossing and  $s$ -nonnesting involutions of  $[n]$

Thm (Chen, Deng, Du, Stanley, Yan, 2007)

$$\# NCNN_n(r, s) = \# NCNN_n(s, r).$$

In particular,

$\# k$ -noncrossing involutions of  $[n]$

=  $\# k$ -nonnesting " .

# SYTs of size  $n$  with height  $\leq 2k+1$

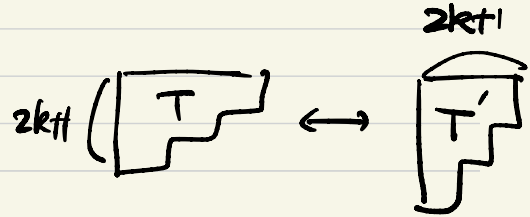
<sup>RS</sup>  
= #  $(k+1)$ -nonnesting involutions of  $[n]$

<sup>CDDSY</sup>  
= #  $(k+1)$ -noncrossing " "

By taking transpose,

# SYTs of size  $n$  with height  $\leq 2k+1$

= # SYTs of size  $n$  with width  $\leq 2k+1$



## Question

# SYTs of size  $n$  with height  $\leq 2h+1$  and width  $\leq 2w+1$

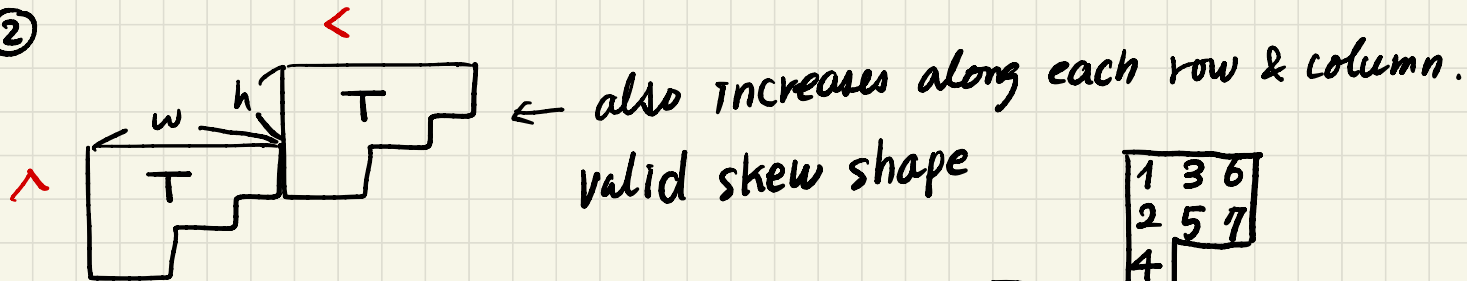
?

= #  $(h+1)$ -nonnesting and  $(w+1)$ -noncrossing involutions  
of  $[n]$

Def)  $(w, h)$ -cylindric SYT is an SYT  $T$  such that

① width of  $T \leq w$

②



ex)

1	3	6
2	5	7
4		
8		

is  $(3, 3)$ -cylindric SYT :

1	3	6
2	5	7
4		
8		

But not  $(3, 2)$ -cylindric :

1	3	6
2	5	7
4		
8		

Let  $CSYT_n(w, h) = \{ (w, h)\text{-cylindric SYTs of size } n \}$

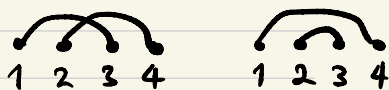
Thm (Huh, Kim, Krattenthaler, Okada)

$$\# CSYT_n(2w+1, 2h+1) = \# NCNN_n(w+1, h+1)$$

ex)  $n=4, h=1, w=1$ .

There are 2 SYTs **not** counted in LHS :  $1234 \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix}$

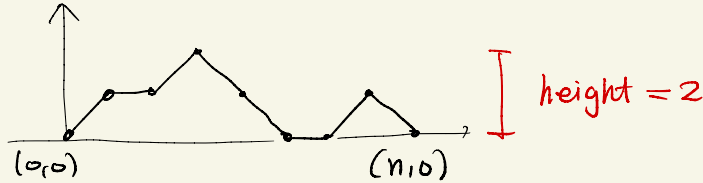
" 2 involutions

" RHS : 

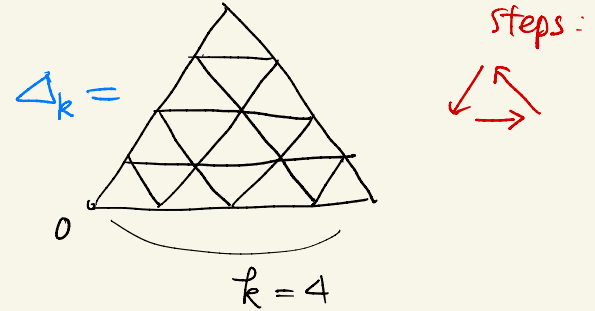
Open Problem : Find a bijective proof.

# Original Motivation

Def) Motzkin path



Def) Triangle lattice of size  $k$

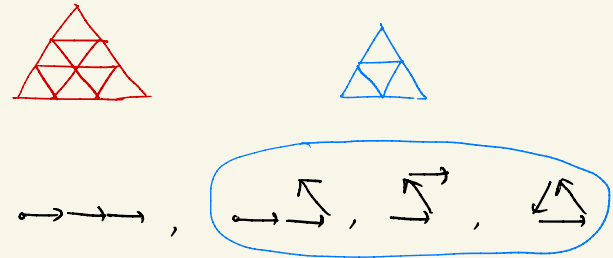
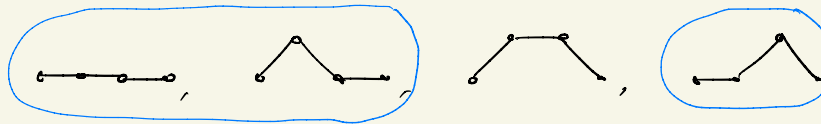


Thm (Mortimer & Prellberg, 2015)

# Motzkin paths of length  $n$  with height  $\leq h$  (without horizontal steps of height  $h$ )

= # lattice walks of length  $n$  from 0 in  $\Delta_{2h+1}$  ( $\Delta_{2h}$ )

ex)  $n=3, h=1$

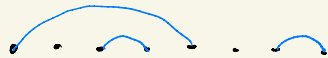
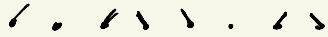


Motzkin path  $ht \leq h$

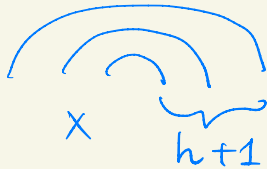
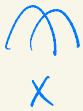
$h \geq 1$



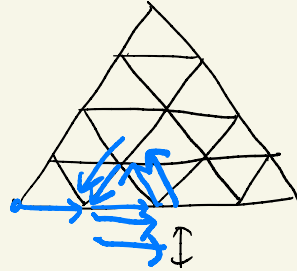
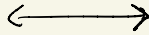
involution



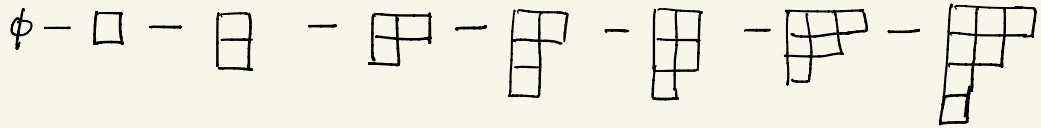
no 2-crossing  
no  $(h+1)$ -nesting



paths in  $\Delta_{2h+1}$



- $\rightarrow$  : add a cell in col 1
- $\uparrow$  : " 2
- $\swarrow$  : " 3



1 3 6  
2 5  
4  
7

$(3, 2h+1)$ -cylindric SYT

Mortimer & Prellberg's result is equivalent to

$$\text{NCNN}_n(2, h+1) = \text{CSYT}_n(3, 2h+1)$$

# 2-noncrossing

$(h+1)$ -nonnesting

involutions on  $\{1, \dots, n\}$

#  $(3, 2h+1)$ -cylindric SYTs of size  $n$ .

This is a special case of our theorem:

Thm (Huh, Kim, Krattenthaler, Okada)

$$\text{NCNN}_n(w+1, h+1) = \text{CSYT}_n(2w+1, 2h+1).$$

Note: Courtiel, Elvey Price, Marcovici found a bijective proof of M-P result.

Thm (Huh, Kim, Krattenthaler, Okada)

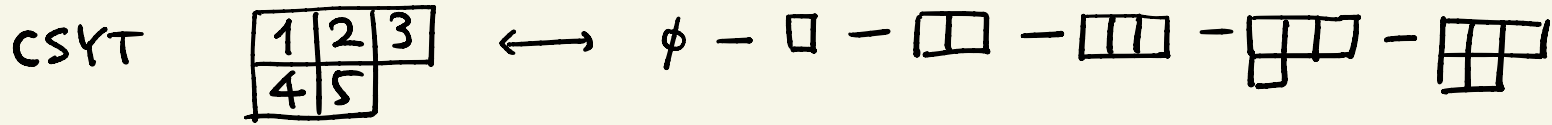
$$\# \text{CSYT}_n(2w+1, 2h+1) = \# \text{NCNN}_n(w+1, h+1).$$

### Idea of Proof

- ① Express each side as # lattice walks
- ② Express # lattice walks using determinants
- ③ Prove  $\det = \det$ .



\* Lattice walks for  $\text{CSYT}_n(2w+1, 2h+1)$ .



$$\leftrightarrow (0,0,0) - (1,0,0) - (2,0,0) - (3,0,0) - (3,1,0) - (3,2,0)$$

a walk in the region  $\{(x_1, x_2, x_3) : x_1 \geq x_2 \geq x_3 \geq 0\}$

with step set  $\varepsilon_1 = (1,0,0)$ ,  $\varepsilon_2 = (0,1,0)$ ,  $\varepsilon_3 = (0,0,1)$ .

Under this correspondence

$\text{CSYT}_n(2w+1, 2h+1) \leftrightarrow$  walks from 0 of length  $n$  in

$$\{(x_1, \dots, x_{2h+1}) : x_1 \geq \dots \geq x_{2h+1} \geq 0, \\ x_1 - x_{2h+1} \leq 2w+1\}$$

$$= \{(x_1, \dots, x_{2h+1}) : x_1 \geq \dots \geq x_{2h+1} \geq x_1 - 2w - 1\}$$

$\hookrightarrow$  alcove of affine Weyl group of type  $\tilde{A}_{2h}$

Def) A **vacillating tableau** is a sequence of partitions

$$\phi = \lambda^{(0)}, \lambda^{(1)}, \dots, \lambda^{(n)} = \phi \quad \text{such that}$$

$\lambda^{(i)}$  and  $\lambda^{(i+1)}$  differ by at most one cell.

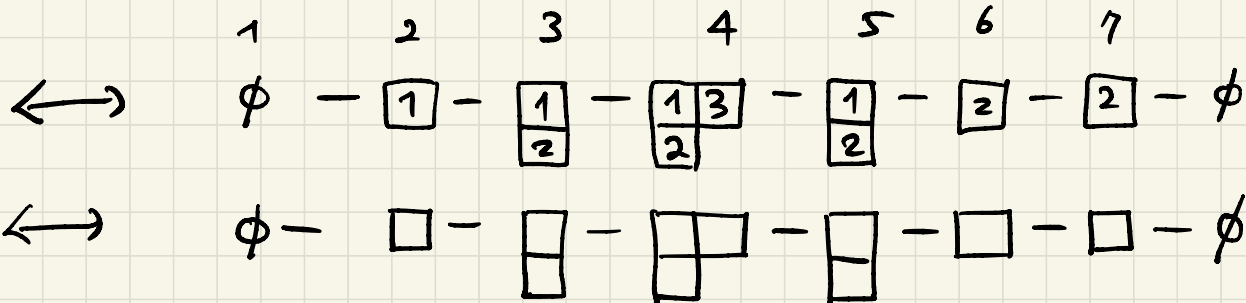
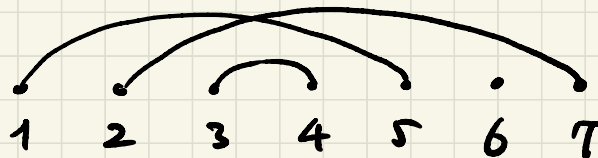
ex)  $\phi - \square - \square\square - \square - \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array} - \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array} - \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array} - \square\square - \square - \phi$

Prop involutions on  $[n] \xleftrightarrow{1-1}$  vacillating tableaux of length  $n$

$NCNN_n(w+1, h+1) \xleftrightarrow{1-1}$  "

with every partition contained in  $h \begin{array}{|c|} \hline \square \\ \hline \end{array}^w$

ex)



• Proceed from right to left.

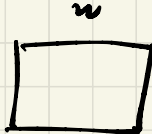
• In vertex  $i$ , if  $\begin{matrix} \cdot \\ i \end{matrix}$  then  $\lambda^{(i)} = \lambda^{(i+1)}$

if  $\begin{matrix} \cdot \\ i \end{matrix} \begin{matrix} \cdot \\ i \end{matrix}$  then  $\lambda^{(i)} = \lambda^{(i+1)} \leftarrow i$

if  $\begin{matrix} \cdot \\ i \end{matrix} \begin{matrix} \cdot \\ j \end{matrix}$  then  $\lambda^{(i)} = \lambda^{(i+1)} - \{i\}$ .

$NCNN_n(w+1, h+1) \xleftrightarrow{1-1}$

vacillating tableaux  
of length  $n$

with every partition  
contained in  $h$  

$\longleftrightarrow$  lattice walks from 0 to 0 of length  $n$

in region  $\{ (x_1, \dots, x_n) : w \geq x_1 \geq \dots \geq x_n \geq 0 \}$

with step set  $\{ \pm \varepsilon_i \} \cup \{ 0 \}$ .

$\downarrow$   
alcove of  
affine Weyl group of type  $\tilde{C}_n$

Filaseta (1985) computed # lattice walks in alcove of affine Weyl groups.

Applying Filaseta's result, we get

$$|CSYT_n(w, h)| = \sum_{\substack{\lambda \vdash n \\ \lambda_1 - \lambda_n \leq w}} \sum_{\substack{k_1 + \dots + k_n = 0 \\ k_1, \dots, k_n \in \mathbb{Z}}} n! \det \left( \frac{1}{(\lambda_i - i + j + (w+h)k_i)} \right)_{i,j=1}^n$$

and

$$\sum_{n \geq 0} |NCNN_n(w+1, h+1)| \frac{x^n}{n!} \\ = \exp(x) \sum_{k_1, \dots, k_n \in \mathbb{Z}} \det \left( I_{-i+j+(2h+2w+2)k_i}(2x) - I_{i+j+(2h+2w+2)k_i}(2x) \right)_{i,j=1}^n$$

where  $I_k(x) = \sum_{l \geq 0} \frac{x^{2l+k}}{l!(l+k)!}$  (Modified Bessel ftn).

So, to prove our theorem

$$|CSYT_n(2w+1, 2h+1)| = |NCNN_n(w+1, h+1)|$$

it suffices to prove

$$\sum_{\lambda: \lambda_1 - \lambda_n \leq w} \sum_{\substack{k_1 + \dots + k_h = 0 \\ k_1, \dots, k_h \in \mathbb{Z}}} x^n \det \left( \frac{1}{(\lambda_i - i + j + (w+h)k_i)} \right)_{i,j=1}^h$$

$$= \exp(x) \sum_{k_1, \dots, k_h \in \mathbb{Z}} \det \left( I_{-i+j + (2h+2w+2)k_i} (2x) - I_{i+j + (2h+2w+2)k_i} (2x) \right)_{i,j=1}^h$$

We will show a symmetric function generalization of this.

Def) Schur function

Recall SSYT 

$$S_\lambda = \sum_{T \in \text{SSYT}(\lambda)} x_1^{\#1\text{'s}} x_2^{\#2\text{'s}} \dots$$

ex)  $\lambda = (2, 1)$

$$\begin{array}{|c|c|} \hline 1 & 1 \\ \hline 2 & \\ \hline \end{array} \quad \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 2 & \\ \hline \end{array} \quad \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 3 & \\ \hline \end{array} \quad \dots + \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & \\ \hline \end{array} + \begin{array}{|c|c|} \hline 1 & 3 \\ \hline 2 & \\ \hline \end{array} + \dots$$
$$S_\lambda = x_1^2 x_2 + x_1 x_2^2 + x_1^2 x_3 + \dots + x_1 x_2 x_3 + x_1 x_2 x_3 + \dots$$

Note:  $[x_1 x_2 \dots x_n] S_\lambda = \# \text{SYT}(\lambda)$ , where  $|\lambda| = n$ .

In the above example  $[x_1 x_2 x_3] S_{(2,1)} = 2$

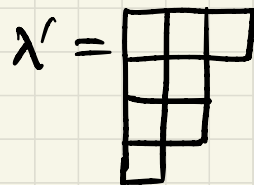
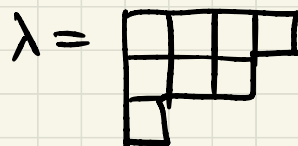
## Thm (Jacobi-Trudi formula)

If  $\lambda = (\lambda_1, \dots, \lambda_k)$ , then

$$S_\lambda = \det \left( h_{\lambda_i - i + j} \right)_{i,j=1}^k.$$

$$S_{\lambda'} = \det \left( e_{\lambda_i - i + j} \right)_{i,j=1}^k.$$

$\lambda'$ : transpose of  $\lambda$




## Thm (Gordon-Bender-Knuth, 1972)

$$\sum_{\ell(\lambda) \leq 2m+1} S_{\lambda'} = \sum_{k \geq 0} e_k \det \left( f_{-i+j} - f_{i+j} \right)_{i,j=1}^m$$

$$\sum_{\ell(\lambda) \leq 2m} S_{\lambda'} = \det \left( f_{-i+j} + f_{i+j-1} \right)_{i,j=1}^m$$

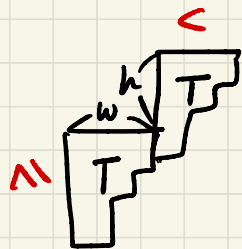
where  $f_k = \sum_{n \geq 0} e_n e_{n+k}$ .



Def) A **row-strict tableau** is  $\approx$  . (transpose of SSYT)

Def)  **$(w, h)$ -cylindric RST** is an RST  $T$  such that

width  $\leq w$  and



← This is also an RST.

Def)  $CRST_{\lambda}(w, h) = \{ T : (w, h)\text{-cylindric RST of shape } \lambda \}$

Thm (Jacobi-Trudi for cylindric Schur ftn)

$$\sum_{T \in CRST_{\lambda}(w, h)} \chi_T = \sum_{\substack{k_1 + \dots + k_n = 0 \\ k_1, \dots, k_n \in \mathbb{Z}}} \det \left( e_{\lambda_i - i + j + (h+w)k_i} \right)_{i, j=1}^h$$

Pf) Follows from Gessel-Krattenthaler 1997.

Thm (Gordon-Bender-Knuth, 1972)

$$\sum_{T \in \text{RST}(2h+1)} \chi_T = \sum_{k \geq 0} e_k \det (f_{-it_j} - f_{it_j})_{i,j=1}^h$$

$$\sum_{T \in \text{RST}(2h)} \chi_T = \det (f_{-it_j} + f_{it_{j-1}})_{i,j=1}^h$$

where  $f_k = \sum_{n \geq 0} e_n e_{n+k}$ .

Thm (Affine Gordon-Bender-Knuth)

$$\sum_{T \in \text{CRST}(w, 2h+1)} \chi_T = \sum_{k \geq 0} e_k \sum_{k_1, \dots, k_h \in \mathbb{Z}} \det (f_{-it_j + (w+2h+1)k_i} - f_{it_j + (w+2h+1)k_i})_{i,j=1}^h$$

$$\sum_{T \in \text{CRST}(w, 2h)} \chi_T = \sum_{k_1, \dots, k_h \in \mathbb{Z}} (-1)^{k_1 + \dots + k_h} \det (f_{-it_j + (w+2h)k_i} + f_{it_j + (w+2h)k_i})_{i,j=1}^h$$

## Cor

$$\textcircled{1} \quad \# \text{CSYT}_n(2w+1, 2h+1) = \# \text{NCNN}_n(w+1, h+1)$$

$$\textcircled{2} \quad \# \text{CSYT}_n(2w+1, 2h) = \# \text{NCNN}_n(w+1, h+\frac{1}{2})$$

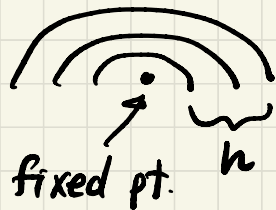
$$\textcircled{3} \quad \# \text{CSYT}_n(2w, 2h+1) = \# \text{NCNN}_n(w+\frac{1}{2}, h+\frac{1}{2})$$

$$\textcircled{4} \quad \# \text{CSYT}_n(2w, 2h) = \sum_{M \in \text{NCNN}'_n(w+1, h+1)} (-1)^{\text{fix}_1(M)}$$

$(h+\frac{1}{2})$ -nonnesting

$\Leftrightarrow$

no



If  $w=1$ , then  $\textcircled{1}, \textcircled{2}$  reduce to Mortimer-Prellberg result.

If  $w=1$ , then ③, ④ become

Cor ③ # Dyck prefixes of length  $n$  with height  $\leq 2h+1$   
= # Motzkin paths of length  $n$  with height  $\leq h$   
and every horizontal step is on  $x$ -axis.

④ # Dyck prefixes of length  $n$  with height  $\leq 2h$   
= # Motzkin paths of length  $n$  with height  $\leq h$   
and every horizontal step is on  $x$ -axis.



We found bijective proofs using recent results of Gu-Prodinger and Dershowitz.

Thank You

for your attention!