

## \* Sign-Reversing Involutions

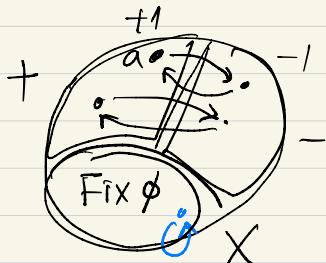
Def) A sign of a set  $X$  is a function

$\text{sgn} : X \rightarrow \{+1, -1\}$ . A sign-reversing involution on  $X$  is an involution  $\phi : X \rightarrow X$  such that

- ①  $\text{sgn}(\phi(x)) = -\text{sgn}(x)$  for all  $x \in X \setminus \text{Fix}(\phi)$ ,
  - ②  $\text{sgn}(x) = 1$  for all  $x \in \text{Fix}(\phi)$ .
- where  $\text{Fix}(\phi) = \{x \in X : \phi(x) = x\}$ .

If  $\phi$  is a sign-reversing involution on  $X$ ,

$$\sum_{x \in X} \text{sgn}(x) = |\text{Fix}(\phi)|.$$



$$\text{ex)} \quad \sum_{k=0}^n (-1)^k \binom{n}{k} = 0 \quad \{1, 2, \dots, n\}$$

Pf) let  $X$  be the set of all subsets of  $[n]$ .  
For  $A \in X$ , define  $\text{sgn}(A) = (-1)^{|A|}$ .

We want to find a s.r.i. on  $X$  with no fixed pts.

$$\hookrightarrow \sum_{A \in X} \text{sgn}(A) = \sum_{A \in X} (-1)^{|A|} = \sum_{k=0}^n (-1)^k \binom{n}{k}.$$

For  $A \in X$ , define  $\phi(A) = A \Delta \{1\}$ .

$$(A \Delta B = (A \cup B) - (A \cap B))$$

$$\phi(A) = A \Delta \{1\} = \begin{cases} A \setminus \{1\} & \text{if } 1 \notin A \\ A \cup \{1\} & \text{if } 1 \in A \end{cases}$$



$\Rightarrow \phi$  is a s.r.i. on  $X$  with no fixed points.

$$\text{ex) } \sum_{k \geq 0} P_m(k) P_n(k) \frac{a^k}{k!} = \frac{e^a a^n}{n!} \delta_{n,m}$$

$$P_n(x) = \sum_{k=0}^n \binom{x}{k} \frac{(-a)^{m-k}}{(n-k)!}$$

pf) LHS of  $\textcircled{*}$

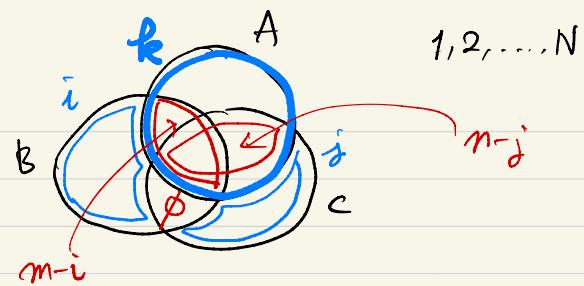
$$\begin{aligned} &= \sum_{k \geq 0} \sum_{i=0}^m \binom{k}{i} \frac{(-a)^{m-i}}{(m-i)!} \sum_{j=0}^n \binom{k}{j} \frac{(-a)^{n-j}}{(n-j)!} \frac{a^k}{k!} \\ &= \sum_{k \geq 0} \sum_{i=0}^m \sum_{j=0}^n \binom{k}{m-i} \frac{(-a)^i}{i!} \binom{k}{n-j} \frac{(-a)^j}{j!} \frac{a^k}{k!} \\ &= \sum_{N \geq 0} \frac{a^N}{N!} \sum_{i+j+k=N} (-1)^{i+j} \frac{N!}{i! j! k!} \binom{k}{m-i} \binom{k}{n-j} \end{aligned}$$

Here,  $\binom{r}{s} = 0$  if  $s < 0$ .

Fix  $N$ .

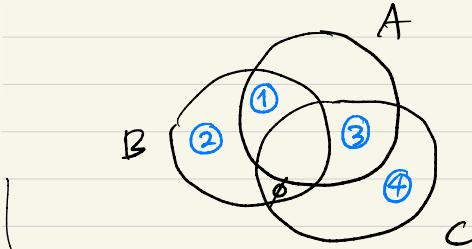
$$\textcircled{**} \quad \sum_{i+j+k=N} (-1)^{i+j} \binom{N}{i,j,k} \binom{k}{m-i} \binom{k}{n-j} = \sum_{(A,B,C) \in X} (-1)^{|B \setminus A| + |C \setminus A|}$$

$X = \text{set of triples } (A, B, C) \text{ s.t. } A \cup B \cup C = [N]$   
 $|A|=k, |B|=m, |C|=n, (B \cap C) \setminus A = \emptyset$



For  $(A, B, C) \in X$ , define  $\text{sgn}(A, B, C) = (-1)^{|B \setminus A| + |C \setminus A|}$

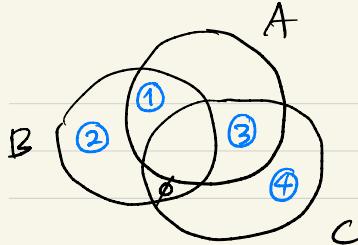
$$\text{LHS of } \textcircled{*} = \sum_{(A, B, C) \in X} \text{sgn}(A, B, C).$$



For  $(A, B, C) \in X$ , define  $\phi(A, B, C)$  as follows

case I: All regions ①, ②, ③, ④ are empty.  
 $\phi(A, B, C) = (A, B, C)$ .

case II: At least one of ①, ②, ③, ④ is nonempty.  
Let  $s$  be the smallest elt in ①, ②, ③, ④.



$$\text{sgn}(A, B, C) = (-1)^{|B \setminus A| + |C \setminus A|}$$

Thus  $\phi$  is a sign-reversing involution on  $X$ .

$$\text{Fix } \phi = \{(A, B, C) \in X : B = C \subseteq A\}.$$

$$\sum_{(A, B, C) \in X} \text{sgn}(A, B, C) = |\text{Fix } \phi| = \binom{N}{m, n} \delta_{m, n}$$

For  $(A, B, C) \in X$ , define  $\phi(A, B, C)$  as follows

case I: All regions ① ② ③ ④ are empty.

$$\phi(A, B, C) = (A, B, C).$$

case II: At least one of ① ② ③ ④ is nonempty.

Let  $s$  be the smallest elt in ① ② ③ ④.

If  $s \in \textcircled{1}$ : move  $s$  to  $\textcircled{2}$

$$\begin{array}{cc} \textcircled{2} & \textcircled{1} \\ \textcircled{3} & \textcircled{4} \\ \textcircled{4} & \textcircled{3} \end{array}$$

Let  $(A', B', C')$  be the resulting sets.

$$\text{Define } \phi(A, B, C) = (A', B', C').$$

$$\begin{aligned} \text{sgn}(A, B, C) &= (-1)^{|B \setminus A| + |C \setminus A|} \\ &= -(-1)^{|B' \setminus A'| + |C' \setminus A'|} \\ &= -\text{sgn}(A', B', C'). \end{aligned}$$

If  $B = C \subseteq A$ , then  $A \cup B \cup C = A = [N]$ .

This can happen only if  $m = n$ .

$$|\text{Fix } \phi| = \binom{N}{m, m}$$

$$\text{If } m = n, |\text{Fix } \phi| = \binom{N}{m, m}$$

Therefore,

$$\begin{aligned} \sum_{k \geq 0} P_m(k) P_n(k) \frac{a^k}{k!} &= \sum_{N \geq 0} \frac{a^N}{N!} \delta_{m, n} \binom{N}{m, m} \\ &= \delta_{m, n} \cdot \frac{e^a a^n}{n!} \end{aligned}$$