

## Ch 9. Symmetric orthogonal polynomials

§9.1. Even and odd polynomials of symmetric OPS

Recall A linear functional  $\mathcal{L}$  is symmetric if all odd moments are zero. ( $\mathcal{L}(x^{2n+1}) = 0$ ).

Def)  $\{P_n(x)\}_{n \geq 0}$ : monic OPS for  $\mathcal{L}$ .  $\{P_n(x)\}_{n \geq 0}$  is symmetric if  $\mathcal{L}$  is symmetric.

Thm  $\{P_n(x)\}_{n \geq 0}$ : monic OPS for  $\mathcal{L}$ .

- ①  $\mathcal{L}$  is symmetric ①'  $\{P_n(x)\}$  sym
- ②  $P_n(-x) = (-1)^n P_n(x)$  for all  $n \geq 0$ .
- ③  $P_{n+1}(x) = x P_n(x) - \lambda_n P_{n-1}(x)$ .

Suppose  $\{P_n(x)\}$  is symmetric and

$$P_{n+1}(x) = x P_n(x) - \lambda_n P_{n-1}(x)$$

with lin fn  $\lambda$ . ( $\lambda(1) = 1$ ).

We have

$$P_{2n}(-x) = (-1)^{2n} P_{2n}(x) = P_{2n}(x)$$

$$P_{2n+1}(-x) = -P_{2n+1}(x).$$

$$\Rightarrow P_{2n}(x) = E_n(x^2)$$

$$P_{2n+1}(x) = x O_n(x^2)$$

$E_n(x), O_n(x)$ : polynomials.

$\{E_n(x)\}_{n \geq 0}$ : even poly for  $\{P_n(x)\}$

$\{O_n(x)\}_{n \geq 0}$ : odd poly ..

Def) Linear functionals  $\mathcal{L}^e, \mathcal{L}^o$ :

$$\mathcal{L}^e(f(x)) = \mathcal{L}(f(x^2))$$

$$\mathcal{L}^o(f(x)) = \frac{1}{\lambda_1} \mathcal{L}(x^2 f(x^2)).$$

Note  $\mathcal{L}^e(1) = \mathcal{L}(1) = 1$

$$\mathcal{L}^o(1) = \frac{1}{\lambda_1} \mathcal{L}(x^2) = 1.$$

Def)  $\mu_n^e = \mathcal{L}^e(x^n), \mu_n^o = \mathcal{L}^o(x^n).$

Thm  $\{E_n(x)\}_{n \geq 0}$  : OPS for  $\mathcal{L}^e$

$\{O_n(x)\}_{n \geq 0}$  : OPS "  $\mathcal{L}^o$ .

$$\mu_n^e = \mu_{2n}$$

$$\mu_n^o = \frac{1}{\lambda_1} \mu_{2n+2}$$

p.f)  $\{P_n(x)\}$  : OPS for  $\mathcal{L}$

$$\mathcal{L}(P_n(x)P_m(x)) = \delta_{n,m} K_n \quad (K_n \neq 0).$$

$$\mathcal{L}^e(E_n(x)E_m(x)) = \mathcal{L}(E_n(x^2)E_m(x^2))$$

$$= \mathcal{L}(P_{2n}(x)P_{2m}(x)) = \delta_{n,m} K_{2n}.$$

$\rightarrow \{E_n\}$  OPS for  $\mathcal{L}^e$ .

$$\mathcal{L}^o(O_n(x)O_m(x)) = \frac{1}{\lambda_1} \mathcal{L}(x^2 O_n(x^2)O_m(x^2))$$

$$= \frac{1}{\lambda_1} \mathcal{L}(P_{2n+1}(x)P_{2m+1}(x))$$

$$= \frac{1}{\lambda_1} \delta_{n,m} K_{2n+1}.$$

$\Rightarrow \{O_n\}$  OPS for  $\mathcal{L}^o$

$$\mu_n^e = \mathcal{L}^e(x^n) = \mathcal{L}(x^{2n}) = \mu_{2n}$$

$$\mu_n^o = \mathcal{L}^o(x^n) = \frac{1}{\lambda_1} \mathcal{L}(x^2 \cdot x^{2n}) = \frac{1}{\lambda_1} \mu_{2n+2}.$$

□

Thm.

$$E_{n+1}(x) = (x - \lambda_{2n} - \lambda_{2n+1}) E_n(x) - \lambda_{2n-1} \lambda_{2n} E_{n-1}(x)$$

$$O_{n+1}(x) = (x - \lambda_{2n+1} - \lambda_{2n+2}) O_n(x) - \lambda_{2n} \lambda_{2n+1} O_{n-1}(x).$$

Pf)  $x P_{2n+1}(x) = x^2 P_{2n}(x) - \lambda_{2n} x P_{2n-1}(x) \rightarrow O_n(x^2) = x^2 E_n(x^2) - \lambda_{2n} O_{n-1}(x^2)$   
 $P_{2n+2}(x) = x P_{2n+1}(x) - \lambda_{2n+1} P_{2n}(x) \rightarrow E_{n+1}(x^2) = O_n(x^2) - \lambda_{2n+1} E_n(x^2)$

$$\Rightarrow O_n(x) = x E_n(x) - \lambda_{2n} O_{n-1}(x) \rightarrow x E_n = O_n + \lambda_{2n} O_{n-1}$$

$$E_{n+1}(x) = O_n(x) - \lambda_{2n+1} E_n(x) \rightarrow O_n = E_{n+1} + \lambda_{2n+1} E_n$$

$$\rightarrow x E_n = (E_{n+1} + \lambda_{2n+1} E_n) + \lambda_{2n} (E_n + \lambda_{2n-1} E_{n-1})$$

$$\rightarrow E_{n+1} = (x - \lambda_{2n} - \lambda_{2n+1}) E_n - \lambda_{2n-1} \lambda_{2n} E_{n-1}$$

$$\rightarrow x O_n = (O_{n+1} + \lambda_{2n+2} O_n) + \lambda_{2n+1} (O_n + \lambda_{2n} O_{n-1})$$

$$\rightarrow O_{n+1} = (x - \lambda_{2n+1} - \lambda_{2n+2}) O_n - \lambda_{2n} \lambda_{2n+1} O_{n-1}.$$

Cor  $\{\lambda_k\}_{k \geq 0}$ : seq with  $\lambda_0 = 0$ .

Define  $\mathcal{O} = \{b_k = 0\}_{k \geq 0}$ ,  $\mathbb{A} = \{\lambda_k\}_{k \geq 1}$

$$b^e = \{\lambda_{2k} + \lambda_{2k+1}\}_{k \geq 0}, \quad \mathbb{A}^e = \{\lambda_{2k-1} \lambda_{2k}\}_{k \geq 1}$$

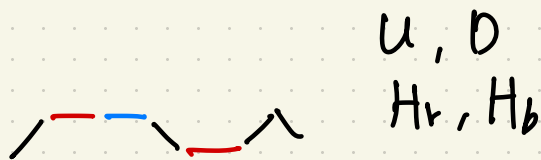
$$b^o = \{\lambda_{2k+1} + \lambda_{2k+2}\}_{k \geq 0}, \quad \mathbb{A}^o = \{\lambda_{2k} \lambda_{2k+1}\}_{k \geq 0}.$$

$$\Rightarrow \mu_{2n}(\mathcal{O}, \mathbb{A}) = \mu_n(b^e, \mathbb{A}^e)$$

$$\mu_{2n+2}(\mathcal{O}, \mathbb{A}) = \lambda_1 \mu_n(b^o, \mathbb{A}^o).$$

§9.2. Converting Dyck paths into bi-colored Motzkin paths.

Def) A bi-colored Motzkin path is a Motzkin path  $\pi$  in which every horizontal step is colored red or blue.



$\text{Motz}_n(2) =$  set of all bi-colored Motzkin paths from  $(0,0)$  to  $(n,0)$ .

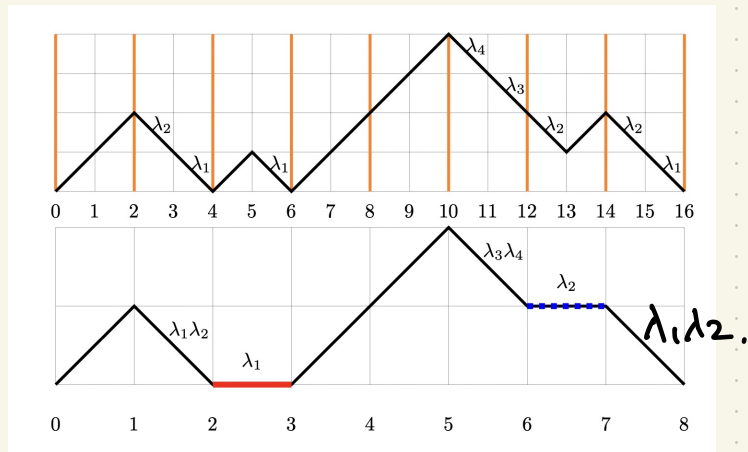
$\text{Motz}_n^0(2) =$  set of all  $\pi \in \text{Motz}_n(2)$  such that  $\pi$  has no  $H_s$  on  $x$ -axis.

Let  $\pi = S_1 \dots S_{2n} \in \text{Dyck}_{2n}$ .

Define  $\phi_0(\pi) = \tau \in \text{Motz}_n^0(2)$ .

$$\tau = T_1 \dots T_n,$$

$$T_i = \begin{cases} U & \text{if } S_{2i-1}S_{2i} = UU = / \\ D & \text{" } \quad DD = \backslash \\ H_r & \text{" } \quad UD = / \\ H_b & \text{" } \quad DU = \backslash \end{cases}$$

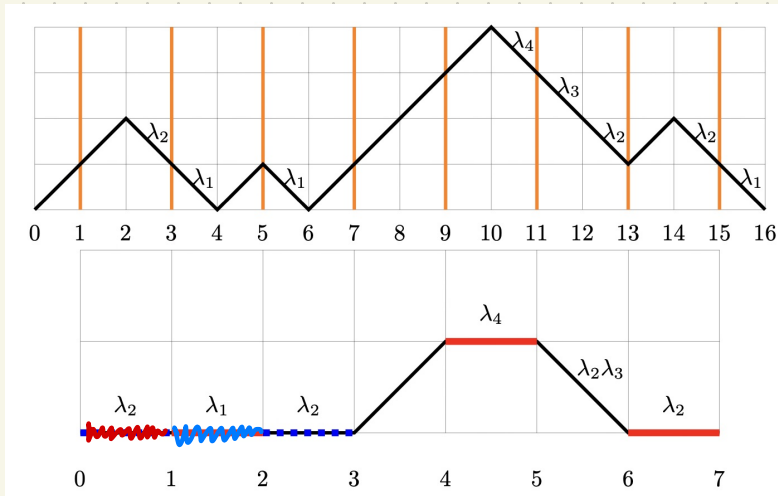


Let  $\pi = s_1 s_2 \dots s_{m+2} \in \text{Dyck}_{m+2}$ .

Define  $\phi_1(\pi) = \tau \in \text{MotZ}_n(2)$

$$\tau = T_1 \dots T_n,$$

$$T_i = \begin{cases} U & \text{if } s_{2i} s_{2i+1} = UU \quad \checkmark \\ D & \text{if } \quad \quad \quad = DD \quad \checkmark \\ H_r & \text{if } \quad \quad \quad = UR \quad \checkmark \\ H_u & \text{if } \quad \quad \quad = DU \quad \checkmark \end{cases}$$



Thm  $\phi_0 : \text{Dyck}_{2n} \rightarrow \text{MotZ}_n^{\circ}(2)$

$\phi_1 : \text{Dyck}_{m+2} \rightarrow \text{MotZ}_n(2)$ .

are bijections such that

$$\phi_0(\pi) = \tau$$

$$\Rightarrow \text{wt}(\pi; (0, \mathbb{N})) = \text{wt}(\tau; (b^e, \mathbb{N}^e))$$

$$\phi_1(\pi) = \tau$$

$$\Rightarrow \text{wt}(\pi; (0, \mathbb{N})) = \lambda_1 \text{wt}(\tau; (b^{\circ}, \mathbb{N}^{\circ})).$$

Cor

$$\mu_{2n}(0, \mathbb{N}) = \mu_n(b^e, \mathbb{N}^e)$$

$$\mu_{2n+2}(0, \mathbb{N}) = \lambda_1 \mu_n(b^{\circ}, \mathbb{N}^{\circ}).$$

### § 9.3. J-fractions and S-fractions.

An S-fraction (Stieltjes-fraction)

is a continued fraction of form

$$\frac{1}{1 - \frac{c_1 x}{1 - \frac{c_2 x}{\dots}}}$$

$$= \sum_{n \geq 0} \sum_{\pi \in Dyck_n} \text{wt}(\pi; \{0, c\}) x^n$$

{c<sub>1</sub>, c<sub>2</sub>, ...}.

A J-fraction (Jacobi-fraction)

is

$$\frac{1}{1 - b_0 x - \frac{\lambda_1 x^2}{1 - b_1 x - \frac{\lambda_2 x^2}{\dots}}}$$

$$= \sum_{n \geq 0} \sum_{\pi \in Motz_n} \text{wt}(\pi; \{b, \lambda\}) x^n$$

Thm

$$\frac{1}{1 - \frac{\lambda_1 x}{1 - \frac{\lambda_2 x}{\dots}}} = \frac{1}{1 - (\lambda_0 + \lambda_1)x - \frac{\lambda_1 \lambda_2 x^2}{1 - (\lambda_2 + \lambda_3)x - \frac{\lambda_3 \lambda_4 x^2}{\dots}}} \quad (2)$$

(1)

$$= 1 + \frac{\lambda_1 x}{1 - (\lambda_1 + \lambda_2)x - \frac{\lambda_2 \lambda_3 x^2}{1 - (\lambda_3 + \lambda_4)x - \frac{\lambda_4 \lambda_5 x^2}{\dots}}} \quad (3)$$

Pf) (1) =  $\sum_{n \geq 0} \sum_{\pi \in Dyck_n} \omega(\pi; D, \lambda) x^n = \sum_{n \geq 0} \mu_{2n}(D, \lambda) x^n$

(2) =  $\sum_{n \geq 0} \sum_{\pi \in Mot_{2n}} \omega(\pi; b^e, \lambda^e) x^n = \sum_{n \geq 0} \mu_n(b^e, \lambda^e) x^n$

$\lambda_1 \sum_{n \geq 0} \mu_n(b^0, \lambda^0) x^n = \sum_{n \geq 0} \mu_{2n+2}(D, \lambda) x^n = \frac{1}{x} \left( \sum_{n \geq 0} \mu_{2n}(D, \lambda) x^n - 1 \right)$

$\Rightarrow \sum_{n \geq 0} \mu_{2n}(D, \lambda) x^n = 1 + \lambda_1 x \sum_{n \geq 0} \mu_n(b^0, \lambda^0) x^n = 1 + \lambda_1 x \sum_{n \geq 0} \sum_{\pi \in Mot_{2n}} \omega(\pi; b^0, \lambda^0) x^n = (3)$